

TYPE OF ENTITY SEARCH INSTRUCTIONS

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CONTENTS

PURPOSE

DISCLAIMER

TYPE OF ENTITY SEARCH OVERVIEW

ORGANIZATIONAL TYPES LIST

MISSION TYPES LIST

SEARCH PROCEDURES AND RESULTS

APPENDIX I- Type of Entity List and Codes

APPENDIX II- Organizational Type Definitions

APPENDIX III- Mission Type Definitions

PURPOSE

Beginning with 2001 Single Audit submissions, the Federal Audit Clearinghouse (FAC) began classifying each entity's organizational type and mission for the purpose of internal analysis. The criteria used by the FAC for classification has no direct relation to any other classification system. The intent of this document is to provide outside researchers an additional means of analysis. This document defines the FAC Type of Entity classifications and explains how to use the classifications to search the FAC database.

DISCLAIMER

The FAC makes no claims as to the accuracy or completeness of the Type of Entity classifications contained in its database. The FAC Type of Entity classifications are only intended to be reasonable estimations of an entity's organizational type and its mission. The FAC may periodically change the Type of Entity classifications in its database and the classification criteria to suit its own purposes without prior notification.

The FAC assigns each entity a "Type of Entity" code based solely its interpretation of information contained in an entity's SF-SAC, reporting package, and in some cases by contacting the entity. However, misclassifications may occur because the information provided by the entity is inaccurate, unclear, or if the information is misinterpreted by the FAC. The FAC may classify an entity as "Unknown" if there is not enough information to make a reasonably accurate classification.

TYPE OF ENTITY SEARCH OVERVIEW

The new FAC Internet Data Dissemination System (IDDS) allows users to query its database using the FAC-determined "Type of Entity" codes as search criteria. The IDDS user may use "Type of Entity" code for queries in both the "Advanced Entity Search" and "Advanced CFDA Search." The "Advanced Entity Search" results in a list of all auditees containing user-selected search criteria. The "Advanced CFDA Search" results in a list of all Federal programs from all auditees containing user-selected search criteria.

Federal Audit Clearinghouse database users may also query the database using "Type of Entity" criteria from two drop-down menus, "Organization Types List" and "Mission Types List". Database users may select almost any combination of criteria from the Organizational Types List and/or the Mission Types List in the drop-down menus. The Organizational Types List differentiates entities based on how they were organized. In general, organization types are different types of governmental and non-profit organizations. The Mission Types List differentiates entities based on their basic mission or service.

ORGANIZATIONAL TYPES LIST:

1. State-Wide
2. State-Dependent
3. County-General Purpose
4. County-Dependent
5. Municipality-General Purpose
6. Municipality-Dependent
7. Township-General Purpose
8. Township-Dependent
9. Independent Special District
10. Independent Education Agency
11. Indian Tribe/Alaskan Native Village-Wide
12. Indian Tribe/Alaskan Native Village-Dependent
13. Territory-Wide
14. Territory-Dependent
15. Territory Local-General Purpose
16. Territory Local-Dependent
17. Non-profit

MISSION TYPES LIST:

1. Government-Wide/ General Purpose Government
2. Airport Authority (Dependent, Independent agencies, Non-profit agencies)
3. Hospital (Dependent, Independent and Non-profit agencies)
4. Housing Agency (Dependent, Independent and Non-profit agencies)
5. Institution for Higher Education (Dependent, Independent and Non-profit agencies)
6. Local Education Agency (LEA) (Dependent, Independent agencies, Non-profit agencies)
7. Transit Agency (Dependent, Independent and Non-profit agencies)
8. Utility (Dependent, Independent and Non-profit agencies)
9. Social Services Organization (Non-profit agencies only)
10. Other (Dependent, Independent and Non-profit agencies)

SEARCH PROCEDURES AND RESULTS

When a user selects one or more types from ONE "Type of Entity" drop-down menu, the system displays results for all entities that matched the selected types. For example, if a user selects "County-General Purpose" and "County-Dependent" from the Organizational Types List, the system will display all entities that are EITHER "County-General Purpose" OR "County-Dependent".

When the user selects one or more types from BOTH drop-down menus, the system displays results for all entities that matched one of the selected types from both lists. For example, if the user selects "State-Dependent" and "County-Dependent" from the Organizational Types List and "Airport Authority" from the Mission Types List, the system will display all entities that are EITHER "State-Dependent" OR "County-Dependent" AND are an "Airport Authority".

The IDDS uses the user-selected criteria from the Organizational Types List and Mission Types List and converts those selections to code number queries. Each entity type has a three-digit code number in the database as referenced in Type of Entity List and Codes (Appendix 1). Since the code numbers follow a standard numbering convention, the system searches the FAC database for types that match the numbering convention of the user's selection(s). The codes are used by the system and are not needed by the end user except when downloading records. The format for results will be displayed in the same manner as either the "Entity Search" or "CFDA Search" depending on the type of search used (see current IDDS for examples). In addition, the user-selected search criteria is displayed along with the search results.

APPENDIX I

COMPLETE TYPE OF ENTITY LIST AND CODES

Note- The codes (TYPECODE) are used by the system and are not needed by the end user. They are displayed for informational purposes only.

TYPECLASS	TYPE	TYPECODE
State	State-Wide	000
State-dependent	State-Dependent Airport Authority	001
	State-Dependent Hospital	002
	State-Dependent Housing Authority	003
	State-Dependent Institution of Higher Education	004
	State-Dependent Local Education Agency (LEA)	005
	State-Dependent Transit Authority	006
	State-Dependent Utility	007
	State-Dependent Other Agency	009
County	County-General Purpose Government	100
County-dependent	County-Dependent Airport Authority	101
	County-Dependent Hospital	102
	County-Dependent Housing Authority	103
	County-Dependent Institution of Higher Education	104
	County-Dependent Local Education Agency (LEA)	105
	County-Dependent Transit Authority	106
	County-Dependent Utility	107
	County-Dependent Other Agency	109
Municipality	Municipality-General Purpose Government	200
Municipality-dependent	Municipality-Dependent Airport Authority	201
	Municipality-Dependent Hospital	202
	Municipality-Dependent Housing Authority	203
	Municipality-Dependent Institution of Higher Education	204
	Municipality-Dependent Local Education Agency (LEA)	205
	Municipality-Dependent Transit Authority	206
	Municipality-Dependent Utility	207
	Municipality-Dependent Other Agency	209
Township	Township-General Purpose Government	300
Township-dependent	Township-Dependent Airport Authority	301
	Township-Dependent Hospital	302
	Township-Dependent Housing Authority	303
	Township-Dependent Institution of Higher Education	304
	Township-Dependent Local Education Agency (LEA)	305
	Township-Dependent Transit Authority	306
	Township-Dependent Utility	307
	Township-Dependent- Other Agency	309

Independent Special District	Independent Special District Airport Authority	401
	Independent Special District Hospital	402
	Independent Special District Housing Authority	403
	Independent Special District Transit Authority	406
	Independent Special District Utility	407
	Independent Special District Other Agency	409
Independent Education Agency	Independent Education Agency- Institution of Higher Education	504
	Independent Local Education Agency (LEA)	505
Indian Tribe/Alaskan Native Village	Indian Tribe-Wide/Alaskan Native Village-Wide	600
Indian Tribe/Alaskan Native Village-dependent	Indian Tribe/Alaskan Native Village-Dependent Airport Authority	601
	Indian Tribe/Alaskan Native Village-Dependent Hospital	602
	Indian Tribe/Alaskan Native Village-Dependent Housing Authority	603
	Indian Tribe/Alaskan Native Village-Dependent Institution of Higher Education	604
	Indian Tribe/Alaskan Native Village-Dependent Local Education Agency (LEA)	605
	Indian Tribe/Alaskan Native Village-Dependent Transit Authority	606
	Indian Tribe/Alaskan Native Village-Dependent Utility	607
	Indian Tribe/Alaskan Native Village-Dependent Other Agency	609
Territory	Territory-Wide	700
Territory-dependent	Territory-Dependent Airport Authority	701
	Territory-Dependent Hospital	702
	Territory-Dependent Housing Authority	703
	Territory-Dependent Institution of Higher Education	704
	Territory-Dependent Local Education Agency (LEA)	705
	Territory-Dependent Transit Authority	706
	Territory-Dependent Utility	707
	Territory-Dependent Other Agency	709
Territory Local	Territory Local General Purpose Government	710
Territory Local-Dependent	Territory Local-Dependent Airport Authority	711
	Territory Local-Dependent Hospital	712
	Territory Local-Dependent Housing Authority	713
	Territory Local-Dependent Institution of Higher Education	714
	Territory Local-Dependent Local Education Agency (LEA)	715
	Territory Local-Dependent Transit Authority	716
	Territory Local-Dependent Utility	717

	Territory Local-Dependent Other Agency	719
OUT OF SCOPE	For profit or outside U.S.	808
UNKNOWN	UNKNOWN	888
Non-profit	Non-profit Airport	901
	Non-profit Hospital	902
	Non-profit Housing Organization	903
	Non-profit Institution for Higher Education	904
	Non-profit School	905
	Non-profit Transit Organization	906
	Non-profit Utility	907
	Non-profit Social Services Organization	908
	Non-profit Other Organization	909

APPENDIX II

ORGANIZATIONAL TYPE DEFINITIONS

These definitions refer to the menu of choices from the Organizational Type drop-down menu. The organizational types listed are the most common types of organizations in the Federal Audit Clearinghouse database.

CLASSIFICATION MENU DEFINITIONS:

State: Any of the fifty states, (the District of Columbia is classified as a State for Single Audit purposes). The state classification contains only one entity type, State-wide. A State-wide is a general purpose state government or state agency with the legal authority to include any and all state government agencies and state-dependent agencies in a single state-wide audit. A state-wide entity includes all (or most) of a state's subordinate authorities, commissions, boards, trusts, foundations, corporations, etc.

000 State-wide government

State-Dependent: various governmental designations that have certain characteristics of governmental units, but that are classified in census statistics as subordinate agencies of the state government. All state agencies that submit audits separate from the statewide audit are included in this classification.

001 State-Dependent Airport Authority

002 State-Dependent Hospital

003 State-Dependent Housing Authority

004 State-Dependent Institution of Higher Education

005 State-Dependent Local Education Agency

006 State-Dependent Transit Authority

007 State-Dependent Utility

009 State-Dependent Other Agency

County: The primary legal division of every state or territory except Connecticut, Rhode Island, District of Columbia and Guam. County governments are officially designated as "borough" governments in Alaska and "parish" governments in Louisiana. Both are classified as county governments in this listing. Not all geographic areas known as counties have county governments. Where municipal and county governments have been consolidated, or substantially merged, composite units are counted as municipal governments.

100 General Purpose County

County-Dependent: Various governmental designations that have certain characteristics of governmental units, but that are classified in census statistics as subordinate agencies of the county government. Included are any county agencies, some special taxing districts and multi-county agencies. In some states, regional organizations are considered non-profits, in other states they are considered county-dependent agencies.

101 County-Dependent Airport Authority

102 County-Dependent Hospital

103 County-Dependent Housing Authority

104 County-Dependent Institution of Higher Education

- 105 County-Dependent Local Education Agency
- 106 County-Dependent Transit Authority
- 107 County-Dependent Utility
- 109 County-Dependent Other Agency

Municipality: political subdivisions within which a municipal corporation has been established to provide general government services for a specific population concentration in a defined area. Included in this classification are cities (excluding the District of Columbia, a state), boroughs (except in Alaska), villages, and towns (except in the Connecticut, Maine, Massachusetts, Minnesota, New Hampshire, New York, Rhode Island, Vermont, and Wisconsin). Composite city-county governments are treated as municipalities.

- 200 General Purpose Municipality

Municipality-Dependent: Various governmental designations that have certain characteristics of governmental units, but that are classified in census statistics as subordinate agencies of the municipal government. Included are any municipal government agencies, some special taxing districts and multi-municipal organizations.

- 201 Municipality-Dependent Airport Authority
- 202 Municipality-Dependent Hospital
- 203 Municipality-Dependent Housing Authority
- 204 Municipality-Dependent Institution of Higher Education
- 205 Municipality-Dependent Local Education Agency
- 206 Municipality-Dependent Transit Authority
- 207 Municipality-Dependent Utility
- 209 Municipality-Dependent Other Agency

Township: Township governments (also known as "town or township" governments) are organized general purpose governments which are established to provide government services for areas without regard to population concentrations. They include townships in Illinois, Indiana, Kansas, Michigan, Minnesota, Missouri, Nebraska, New Jersey, North Dakota, Ohio, Pennsylvania, and South Dakota. They also include towns in Connecticut, Maine, Massachusetts, Minnesota, New Hampshire, New York, Rhode Island, Vermont, and Wisconsin.

- 300 General Purpose Township

Township-Dependent: Various governmental designations that have certain characteristics of governmental units, but that are classified in census statistics as subordinate agencies of the township government. They include any township government agencies.

- 301 Township-Dependent Airport Authority
- 302 Township-Dependent Hospital
- 303 Township-Dependent Housing Authority
- 304 Township-Dependent Institution of Higher Education
- 305 Township-Dependent Local Education Agency
- 306 Township-Dependent Transit Authority
- 307 Township-Dependent Utility
- 309 Township-Dependent Other agency

Independent Special District: Special District Governments are independent, special-purpose governmental units with substantial administrative and fiscal independence from

general-purpose governments. Independent Special Districts are established to provide only one or a limited number of designated functions and having sufficient administrative and fiscal autonomy to qualify as independent governments.

Includes- Independent airport districts, fire districts, sanitation districts, public works districts, water and sewer districts, and other independent local public agencies.

Excludes- Most regional planning organizations that are not classified as governmental units and are considered non-profits due to their organizational make-up. In some states regional organizations are considered non-profits and in other states they are considered county-dependent agencies.

- 401 Independent Special District- Airport Authority
- 402 Independent Special District- Hospital
- 403 Independent Special District- Housing Authority
- 406 Independent Special District- Transit Authority
- 407 Independent Special District- Utility
- 409 Independent Special District- Other Agency

Independent Local Education Agency: An independent Local Education Agency is a local government established to provide education functions within a geographic area or specific population and having sufficient administrative and fiscal autonomy to qualify as independent governments.

- 504 Independent Special District- Institution of Higher Education
- 505 Independent Local Education Agency

Indian Tribe/Alaskan Native Village-wide: Indian tribe-wide or Alaskan native village-wide is the general purpose government or an agency of a Federally recognized Indian Tribe or Alaskan Native Village with the legal authority to include any and all tribal or village government agencies and dependent agencies in a single tribal-wide or village-wide audit.

- 600 Indian Tribe/Alaskan Native Village-wide

Indian Tribe/Alaskan native Village-Dependent: Any and all agencies dependent on Indian tribe or Alaskan Native Village governments that submit audits separate from the Indian Tribe-wide/Alaskan Native Village-wide audit are included in this classification.

- 601 Indian Tribe/Alaskan Native Village-Dependent Airport Authority
- 602 Indian Tribe/Alaskan Native Village-Dependent Hospital
- 603 Indian Tribe/Alaskan Native Village-Dependent Housing Authority
- 604 Indian Tribe/Alaskan Native Village-Dependent Institution of Higher Ed.
- 605 Indian Tribe/Alaskan Native Village-Dependent Local Education Agency
- 606 Indian Tribe/Alaskan Native Village-Dependent Transit Authority
- 607 Indian Tribe/Alaskan Native Village-Dependent Utility
- 609 Indian Tribe/Alaskan Native Village-Dependent Other Agency

Territory: (Territory-wide) This category include territories of the United States and the freely associated states. The territories under the jurisdiction of the United States include American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico and the Virgin Islands of the United States. The freely associated states include The Federated States of Micronesia, The Republic of Marshall Islands and the Republic of Palau. The territory classification contains only one entity type, Territory-wide governments.

700 Territory-wide: an agency of a territory of the United States with the legal authority to include any and all territory government agencies and dependent agencies in a territory-wide audit.

Territory-Dependent: Various governmental designations that have certain characteristics of governmental units, but that are classified in census statistics as subordinate agencies of the territorial government. All territorial governmental agencies that submit audits separate from the territory-wide audit are included in this classification.

- 701 Territory-Dependent Airport Authority
- 702 Territory-Dependent Hospital
- 703 Territory-Dependent Housing Authority
- 704 Territory-Dependent Institution of Higher Education
- 705 Territory-Dependent Local Education Agency
- 706 Territory-Dependent Transit Authority
- 707 Territory-Dependent Utility
- 709 Territory-Dependent Other Agency

Territory Local: This category includes all only general purpose local governments in the U.S. territories.

- 710 Territory Local General Purpose Government

Territory Local-Dependent: Any and all agencies dependent on local governments in territories.

- 711 Territory Local-Dependent Airport Authority
- 712 Territory Local-Dependent Hospital
- 713 Territory Local-Dependent Housing Authority
- 714 Territory Local-Dependent Institution of Higher Education
- 715 Territory Local-Dependent Local Education Agency
- 716 Territory Local-Dependent Transit Authority
- 717 Territory Local-Dependent Utility
- 719 Territory Local-Dependent Other Agency

Non-profit: Non-profit organizations are the only classification in the non-government category. Non-profit organizations include Social welfare organizations, non-Federally recognized tribal organizations, councils that act as private Nonprofit organizations, incorporated entities, corporations and Non-profit organizations that administrate HUD and FHA housing projects. In some states regional organizations are considered non-profits and in other states they are considered county-dependent agencies.

Excludes- government-dependent organizations such as community service boards and economic development boards in some states.

- 901 Non-profit Airport
- 902 Non-profit Hospital
- 903 Non-profit Housing Organization
- 904 Non-profit Institution for Higher Education
- 905 Non-profit School
- 906 Non-profit Transit Organization
- 907 Non-profit Utility
- 908 Non-profit Social Services Organization

909 Non-profit Other Organization

Out of Scope: The analyst will assign this code if the entity is for-profit or outside the U.S.
808 Out of Scope

Unknown: The processor will use this code if the type of entity cannot be determined due to lack of information or a conflict in definitions. This is a temporary code for the use of the analyst signaling more research is required.
888 Unknown

APPENDIX III

MISSION TYPE DEFINITIONS

These definitions refer to the menu of choices from the Mission Type drop-down menu. The mission types listed are the most common services provided by the organizations in the Federal Audit Clearinghouse database. The definitions below follow the standard numbering convention used to identify that mission type. The ‘*’ signifies any other digit in the Type code.

Government-dependent and independent government agency types have similar three-digit type codes differentiated by the first digit. Non-profit organizations have different definitions but the codes are similar to the government agency types.

(**0) General Purpose Government: General Purpose governments of the fifty states, the District of Columbia, Territories of the U.S. and Federally-recognized Indian Tribes and Alaskan Native Villages and all sub-state level local government entities including counties, municipalities, townships. Special purpose governments are not included in this designation.

(**1) Airport Authority: Airport authorities are usually government entities and sometimes non-profit entities primarily engaged in (1) operating international, national, or civil airports or public flying field or (2) supporting airport operations (except special food services contractors), such as rental of hanger space, air traffic control services, baggage handling services, and cargo handling services (from the North American Industry Classification System- NAICS).

(**2) Hospital: Hospital facilities providing in-patient medical care and institutions primarily for care and treatment of handicapped (rather than education).

EXAMPLES- general hospitals; institutions for the custody, treatment, or general care of the mentally insane or defective, feeble-minded, mentally retarded, or emotionally disturbed; TB sanatoria, maternity and children hospitals, orthopedic hospitals, and hospitals for chronic diseases; institutions for care and treatment of blind, deaf, developmentally disabled, or other special classes of handicap; hospitals associated with the university medical schools, non-profit organizations which lease and operate government-owned hospitals.

Excluded: Nursing homes (or other welfare institutions) not directly associated with a hospital; state schools for blind, deaf, or other handicapped (primarily for education and training); infirmaries serving particular institutions, like college infirmaries and prison hospitals; hospitals for the criminally insane operated by corrections agencies.

(**3) Housing Authority: A housing authority is a government authority that provides public housing or assists the public in renting or purchasing housing.

Includes- state, regional, or local housing authorities

(903) Non-profit Housing Organization: A non-government organization that provides broader realm of housing, housing services or assists the public in renting or purchasing housing. This designation would include entities providing housing financing and counseling.

Excluded: Homeless shelters (social services) and hospitals.

(**4) Institution of Higher Education: An institution of Higher Education is a Degree-granting institution (associate, bachelor, master or doctorate) providing academic training

beyond the high school (grade 12) level. This designation includes junior colleges, community colleges, universities, law schools, medical or nursing schools, agricultural colleges, land grant institutions, engineering schools, and other institutions for instructions granting post secondary degrees.

Excluded- training programs, remedial education, community education, Hospitals operated by medical schools (included in Hospitals), Agricultural Extension services (State), and University-affiliated research foundations (Other Nonprofit).

(**5, 7*5) Local Education Agency (LEA), School: An entity providing operation, maintenance, and construction of public schools and facilities for elementary and secondary education (kindergarten through high school), vocational-technical education, schools for the blind, deaf, or handicapped (if primarily for training and education) and other educational institutions, whether operated by independent governments (school districts) or as integral agencies of state, county, municipal, or township governments; and financial support of public elementary and secondary schools. Includes Non-profit schools and academies.

Excludes- Institutions of higher education; schools for the blind, deaf, or handicapped (if primarily for physical rehabilitation and care); state adult, vocational, and special education programs operated outside school systems.

(**6) Transit Authority: An entity that is created for the operation, maintenance and construction of public mass transit systems, including subways, surface rails and buses.

Examples of transit systems include Rapid transit; subways, surface rail, and street railroad systems; commuter rail lines; trolleys and light rail; bus systems.

Excludes: Systems solely to transport students, ferries, toll highways, and systems exclusively for handicapped or senior citizens.

(**7) Utility: A governmental or non-profit entity that provides electric power, natural gas, steam supply, water supply or sewage removal through a permanent infrastructure of lines, mains, and pipes. (NAICS).

(808) Out of Scope: The analyst will assign this code if the entity is for-profit or outside the U.S.

(888) Unknown: The processor will use this code if the type of entity cannot be determined due to lack of information or a conflict in definitions. This is a temporary code for the use of the analyst signaling more research is required.

(908) Non-Profit Social Services Organization: Nonprofit entities that provide social services to the general public or special needs populations. Includes public health and welfare agencies such as soup kitchens, shelters, health services, legal services, senior services, etc.

(**9) Other: Other types are entities that could not be designated in any of the previous specific types. Examples include nursing homes.